



AUDIT COMMITTEE - WORK PLAN

1.0 WORK PLAN

- 1.1 This report details the draft work plan for the Audit Committee for 2014/15.
- 1.2 The work plan may evolve during the year, due to, for example, any changes in legislation, change relating to the External Auditor timetables, or new reports which need to be brought to the attention of the Committee.

2.0 FINANCIAL IMPLICATIONS

- 2.1 There are no financial consequences directly arising from this report.

3.0 EQUALITY & DIVERSITY, CRIME AND DISORDER AND ENVIRONMENTAL MATTERS

- 3.1 There are no equality and diversity, crime or disorder or environmental matters directly associated with this report.

4.0 RECOMMENDATIONS

- 4.1. That the Audit Committee considers and approves the Work Plan as appended and informs Officers of any requested changes.

For Further Information Contact:

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Audit Committee Work Plan

| DATE | WORK / REPORTS |
|-------------------------|--|
| 20 June 2014 | External Audit Progress Report Annual Work Program Annual Report on the Treasury Management service and Actual Prudential Indicators 2013/14 Review of the Local Code of Good Governance Annual Auditors Report 2013/14 Annual Governance Statement Internal Audit Progress report against 14/15 Q1 Training Program Write-Offs |
| 26 September 2014 | External Auditor - Draft Findings Report 2013/14 External Auditor - Opinion of the Statement of Accounts & Value for Money Statement of Accounts Annual Governance Statement Governance Action Plan Follow up Treasury Management Q2 Financial Monitoring Report Q2 Internal Audit Progress report against the audit plan 14/15 Q2 Outstanding high priority audit recommendations Annual Waivers - Procurement Strategic Risk Register - Update |
| 23 January 2015 | Annual Governance Statement External Auditor Grant Claim Certification External Audit Progress Report External Auditor's Annual Audit Letter Treasury Management Report Q3 Internal Audit Progress report against the audit plan 13/14 Q3 Outstanding high priority audit recommendations Risk Update Annual RIPA Report |
| 21 March 2015 | Treasury Management Administration Update Internal Audit Progress report against the audit plan 14/15 Q4 (provisional) Outstanding high priority audit recommendations Internal Audit Charter & Internal Audit Plan 15/16 Review of Policies including Whistleblowing, Anti-Fraud, Bribery and Corruption Response to the external auditor on the management and controls in the organisation Review of any other relevant policies as required Risk Update |